# Walter Reed Army Institute of Research (WRAIR) Temporary Transfer of Study Responsibility

### Form Instructions:

•	For studies with ongoing subject interactions, as well as, for all FDA regulated studies, the
	PI-delegate must currently be serving as an Associate Investigator (AI) on the study and
	have access to all current and previous regulatory and participant file documentation, as
	applicable. For non-FDA regulated studies with no further subject interactions and no further
	recruitment (e.g., protocol is in data/specimen analysis only), the PI-delegate does not have
	to be a current AI on the study.

- Submit this form to the WRAIR Human Subjects Protection Branch (HSPB) prior to the temporary absence of the current PI.
- Please include a copy of the completed form with any other study documents that must be signed by the new Pl.
- All submissions must be typed.

Date:

Principal Investigator:	
WRAIR IRB Protocol #:	

Protocol Title:

This form is to certify the temporary transfer of responsibility for the conduct of this protocol during the PI's temporary absence starting on **<insert start date>** and ending on **<insert end date>**, at which time the PI will return and resume responsibility for the trial.

By signing this form, the new PI certifies and accepts the following obligations to protect the rights and welfare of human subjects in this study:

- I agree to personally supervise and conduct the investigation in accordance with the protocol version approved by the Institutional Review Boards (IRBs)/Ethical Review Committees (ERCs).
- All the information provided in this application represents an accurate description.
- All project personnel will conduct the study in compliance with all applicable federal, state, and local laws and regulations and all applicable policies and requirements.
- I will not modify the protocol without first obtaining an IRB/ERC approved amendment and new protocol version unless it is necessary to protect the health and welfare of study participants.

- Valid informed consent/assent will be obtained from all research subjects or their legally authorized representatives (as applicable).
- I certify that I, and the study staff, have received the requisite training to conduct this research protocol and are fully aware of their responsibilities relative to obtaining consent/assent according to the WRAIR IRB's policies, state laws, and applicable Department of Defense (DoD) and federal regulations. Only the currently WRAIR IRB approved, consent forms or recruitment scripts will be used.
- I will promptly (within 48 hours) report changes to the research, unanticipated problems, and related serious adverse events/serious adverse device effects to the WRAIR IRB via the WRAIR HSPB at (301) 319-9940 (during duty hours) or to the usarmy.detrick.medcom-wrair.mbx.hspb@health.mil and submit a written report within 10 working days of knowledge of the event.
- The WRAIR IRB will be informed immediately (within 24 hours) of any significant negative changes in the risk/benefit relationship of the research.
- I agree to maintain adequate and accurate records in accordance with IRB policies, Federal, state and local laws and regulations. All required research records will be maintained and made available when requested for Post Approval Compliance Monitoring.
- I will immediately (within 24 hours) report to the WRAIR HSPB of any violations to the DoD, Federal research regulations, U.S. FDA regulations, HIPAA regulations, state and local laws, and WRAIR IRB policies for the protection of human subjects, as applicable, and knowledge of any pending compliance inspection by any outside governmental agency.

If unable to direct this research personally, as when on short term leave or vacation, the PI will arrange for an Associate Investigator to accept responsibility in his/her/their absence.

Print Name of Delegate Principal Investigator:

Date:\_\_\_\_\_Signature of Delegate PI: \_\_\_\_\_

Failure to comply with any of the applicable regulations, laws, WRAIR policies, and the provisions of the IRB-approved protocol may result in suspension or termination of this research project and notification by the IRB to appropriate institutional officials, study sponsor, DoD and governmental agencies. In addition to the IRB's actions, the WRAIR Chief Scientific Officer or Commander may impose additional conditions or restrictions.

Print Name of Current Principal Investigator:\_\_\_\_\_

### WRAIR IRB Guidance for Management of Principal Investigator Changes Appendix B

Date:\_\_\_\_\_Signature of Current PI:\_\_\_\_\_

## Directorate/Center/Branch Director Approval:

Does the Delegate	Principal	Investigator	have the	appropriate	qualifications f	to conduct
the study? 🗌 Yes	No No					

Does the <b>Delegate</b>	Principal	Investigator	have	adequate	time to	conduct	and supe	vise
the study?	No No	-		-			-	

Are there any other factors that w	ould limit the <b>De</b>	elegate Principal Ir	nvestigator's ability
to successfully conduct the study	? 🗌 Yes 🗌 No	)	

Additional Comments:

Print Name of Directorate/Center/Branch Director:

Date:	Signature:

#### HSPB Review:

Dated, signed and cui	rent (within 2 year	rs of submission)	Curriculum \	/itae for <b>Delegate</b>
Principal Investigator	provided? Ves	No		_

Current Human Subjects Protection Training Certificate for Delegate	Principal
Investigator provided? Ves No	

Statement of Conflicts of Interest (financial or otherwise) for **Delegate** Principal Investigator provided? (required for studies involving commercial sponsors and/or studies with drugs, biologics, devices, combination products, or development of *in vitro* diagnostics).

Yes No

Additional Comments:

### WRAIR IRB Guidance for Management of Principal Investigator Changes Appendix B

Print Name of <b>HSPE</b>	B Point of Contact:	
Date:	_Signature:	
WRAIR IRB Chair (	or Designee) Review:	
Approved 🗌		
Additional Information	n Needed 🗌	
Referred for IRB rev	iew at convened meeting	
Additional Comments:		
Print Name of WRAIR IRB Chair (or Designee):		
Date:	_Signature:	